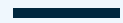


VULCAN CLIMATE MODELING

Machine-learning Climate Model Parameterizations From Global Cloud-resolving Model Outputs



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June 30, 2020



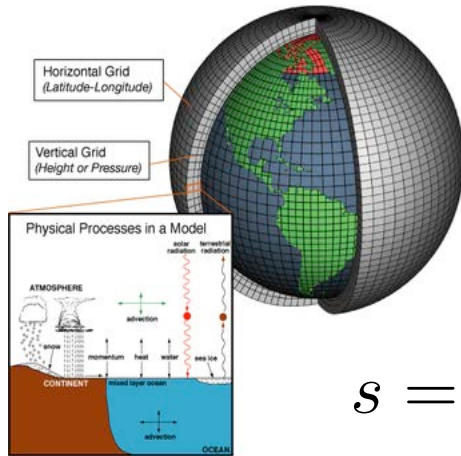
Goal: Improving a climate model to improve rainfall predictions using machine learning (ML)

A global cloud-resolving model (GCRM) with a finer grid of 1-3 km may (with work) better simulate individual storm clouds and mountains than a conventional 25-200 km grid GCM

....but is too computationally intense for ensembles of multidecadal integrations.

Goal:

Use a realistic GCRM for training a skillful machine-learning based parameterization of subgrid clouds and precipitation for a coarser-grid global climate model.



Coarse-resolution dynamics and parameterized physics

$$s = T + \frac{g}{c_p} z$$

$$q = \frac{\text{Mass water vapor}}{\text{Mass dry air}}$$

$$\frac{\partial \bar{s}}{\partial t} + \bar{\mathbf{v}} \cdot \bar{\nabla} \bar{s} = Q_1$$

Apparent heating (K/day)

SW+ LW radiation, latent heating, etc

$$\frac{\partial \bar{q}}{\partial t} + \bar{\mathbf{v}} \cdot \bar{\nabla} \bar{q} = Q_2$$

Apparent moistening (g/kg/day)

$$\frac{\partial \bar{\mathbf{u}}}{\partial t} + \bar{\mathbf{v}} \cdot \bar{\nabla} \bar{\mathbf{u}} + \mathbf{f} \times \bar{\mathbf{u}} - \frac{1}{\rho} \nabla \bar{p} = Q_{u,v}$$

Apparent momentum source
(for now rely on coarse model
parameterizations of PBL, GWD, etc.)

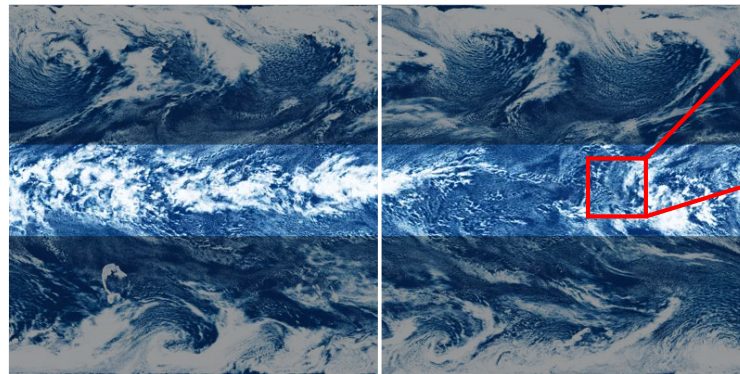
Aqua-planet prototype

Past work: Training ML using a coarse-grained 4 km tropical channel simulation

Brenowitz and Bretherton 2018, 2019; Rasp et al. 2018; O’Gorman and Yuval 2020

- Use 80-day 4 km aquaplanet run as ‘truth’ to machine-learn moist physics parameterization for the low-res model.
- Goal: forecast with low-res dycore + ML param should match hi-res run.

A

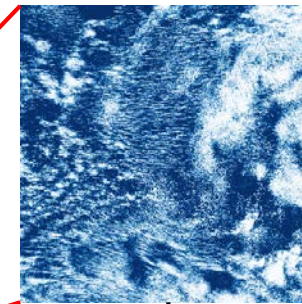


Testing region

Training region

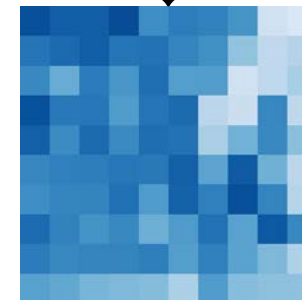
- 160 km coarse (low-res) grid
- Calculate $Q_{1,2}(\mathbf{r}, t)$ (coarse-grid ‘moist physics’ tendencies including radiation) as residuals of dynamical equations.
- Unified moist physics, turbulence and radiation parameterization: Learn $Q_{1,2}$ as functions of local column conditions using a neural net.

B



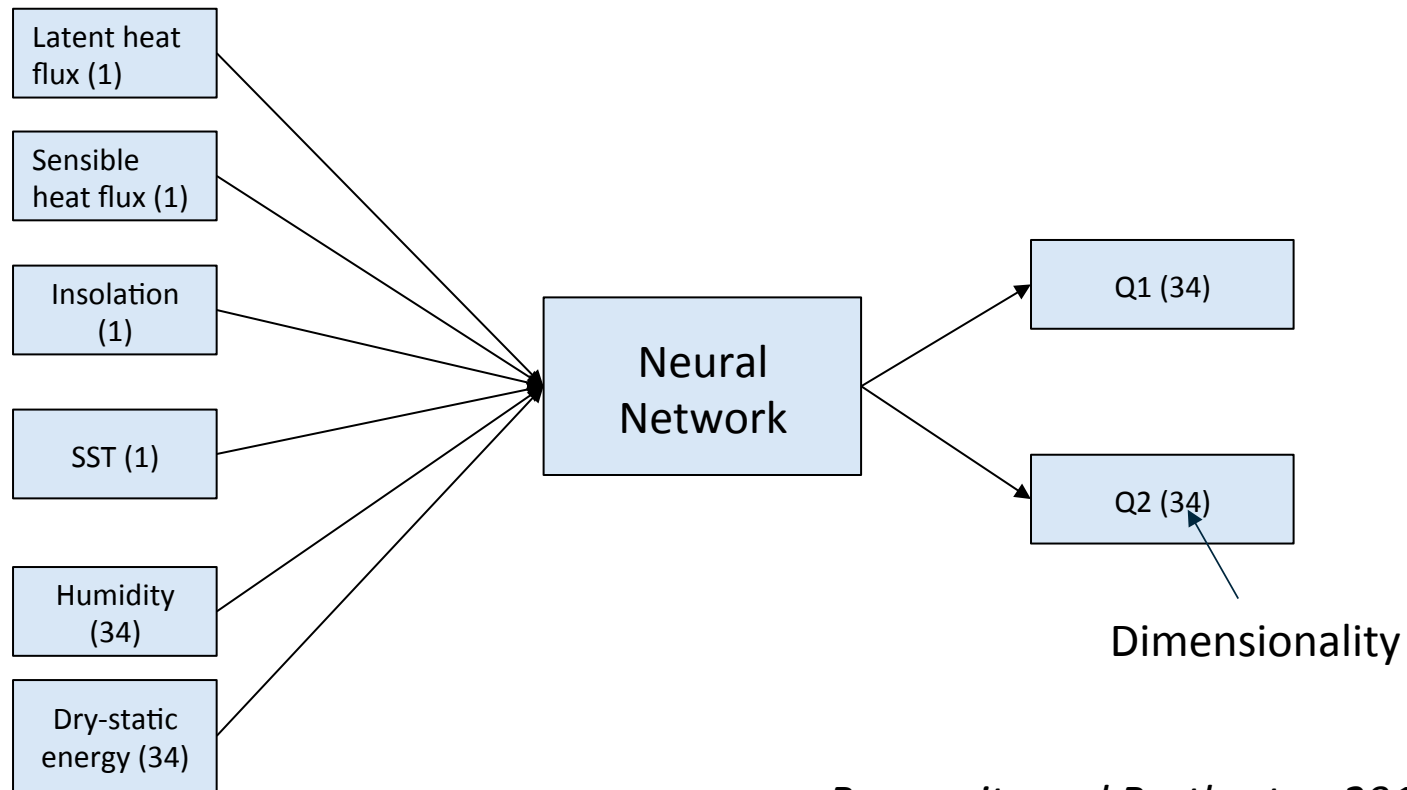
Coarse-graining

C



10^6 training boxes
from 80-day simulation

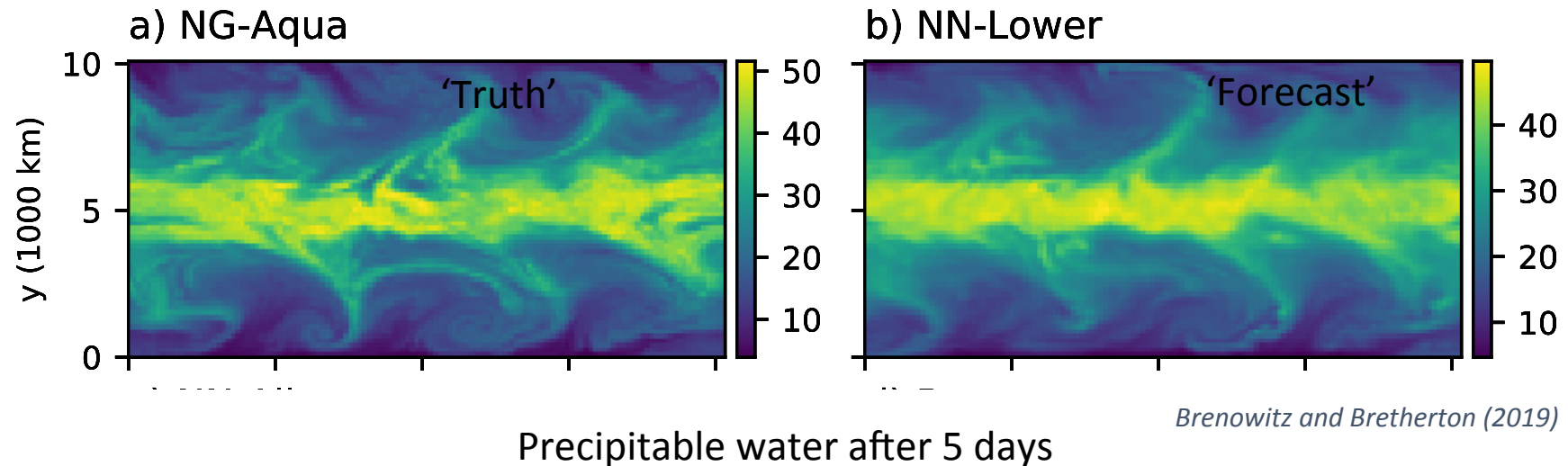
Column Moist Physics Parameterization



Brenowitz and Bretherton 2018, 2019

Couple the ANN to the flow solver on 160 km grid

If inputs and error metric are carefully designed to prevent rapid model blow-up, hi-res model is skillfully forecast by low-res model with NN parameterization

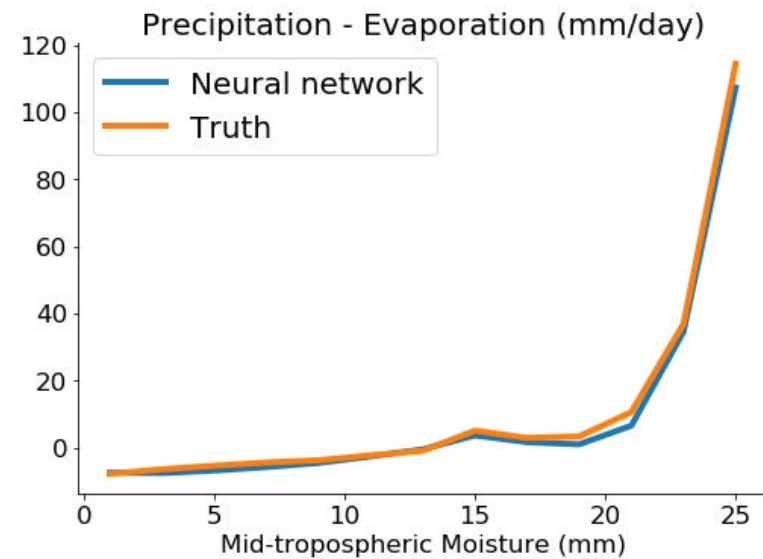


...but the 'climate' slowly drifts after 10 days toward a weaker ITCZ

See Rasp et al. (2018, GRL) and O'Gorman and Yuval (2020, arXiv) for other aquaplanet successes with similar methods applied to related models.

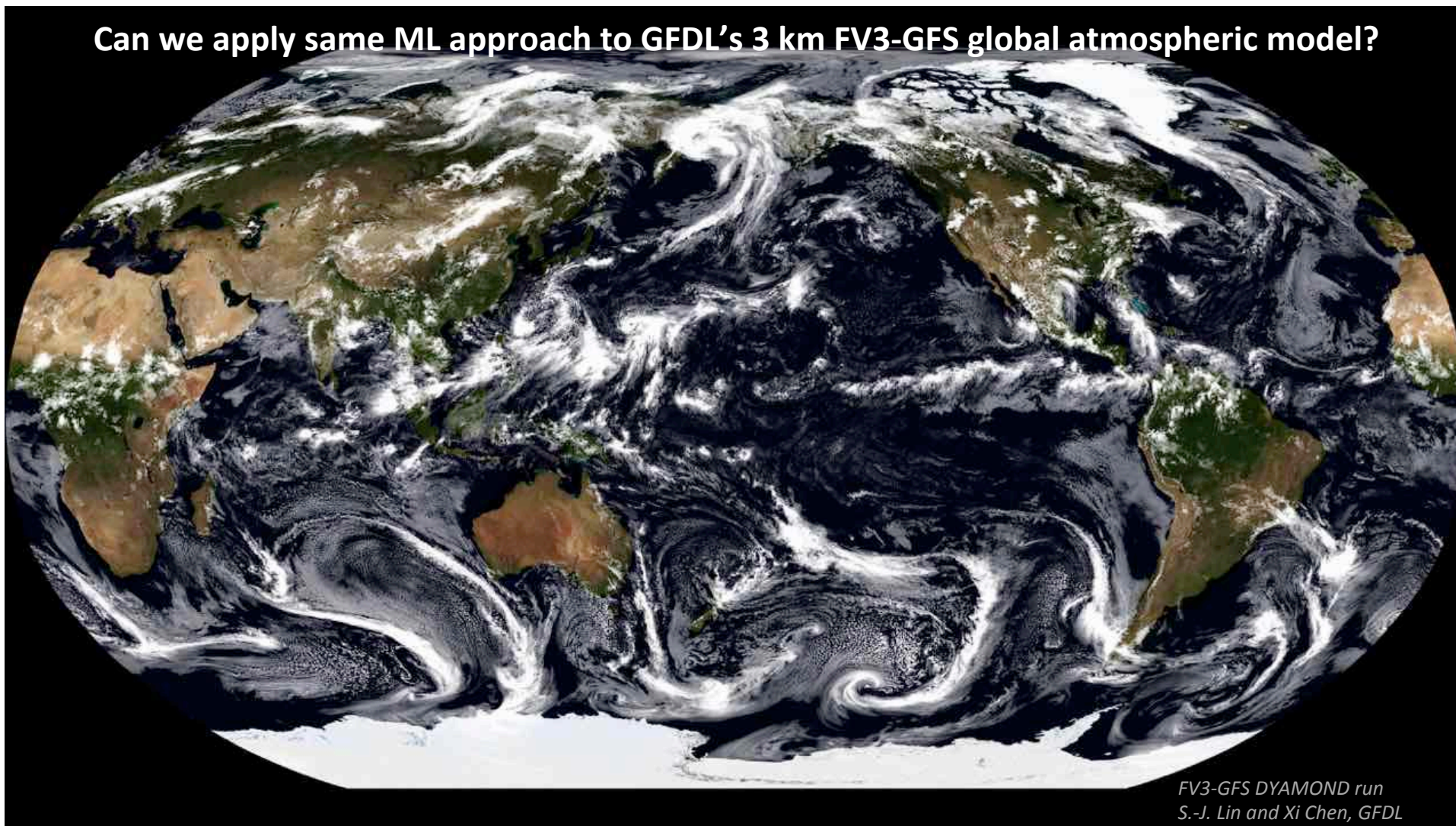
Does our network behave realistically?

- Observed precipitation increases exponentially with humidity
- Neural networks behave the same
 - Average inputs over bins of moisture
 - Predict with averaged inputs



Realistic GCM

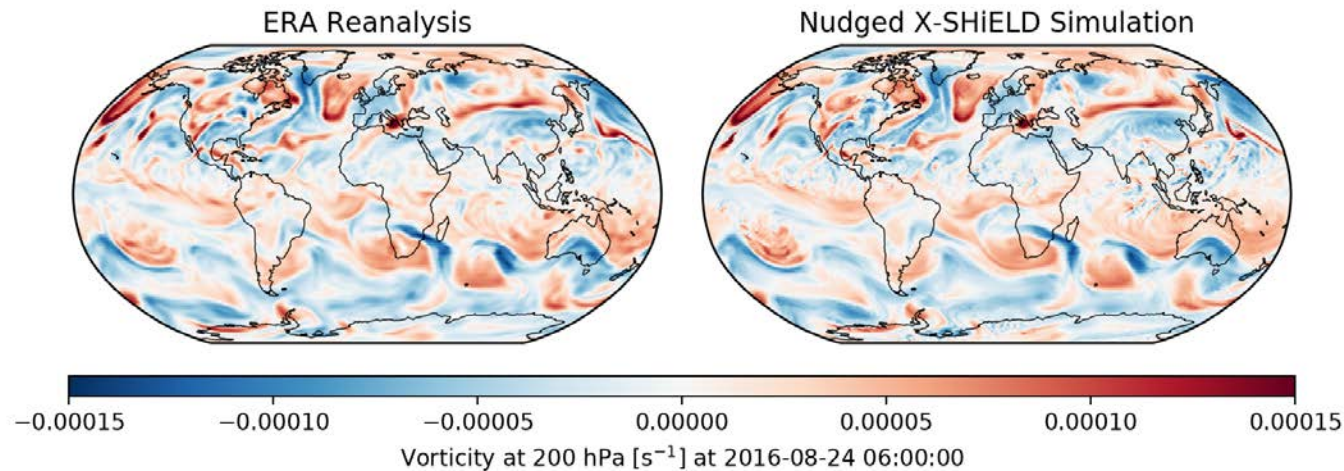
Can we apply same ML approach to GFDL's 3 km FV3-GFS global atmospheric model?



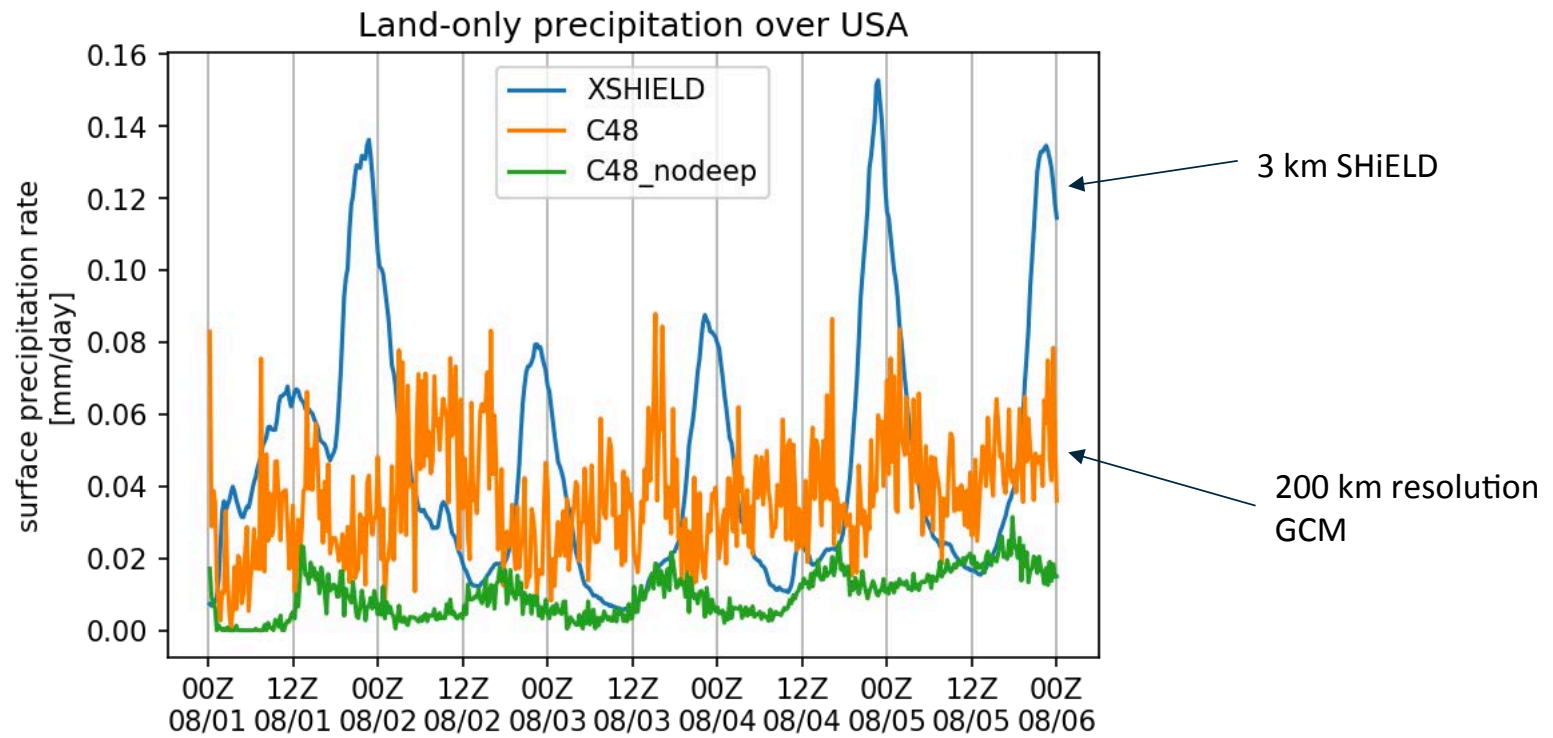
*FV3-GFS DYAMOND run
S.-J. Lin and Xi Chen, GFDL*

Training dataset: nudged 3 km SHIELD (modified FV3-GFS)

- Training dataset: 40 d ‘nudged DYAMOND’ simulation on GAEA (1 Aug to 9 Sep 2016):
- Observed SSTs
- Light nudging ($\tau = 1$ day) of 3 km T/u/v/ps to ERA5 reanalysis keeps meteorology ‘data-aware’. Nudging tendencies are considered to be part of the learned physics
- Store atmospheric and land-surface restart fields coarse-grained to 25 km every 15 min



Improved diurnal cycle of precipitation over land



Correcting model errors with machine learning

- Uncorrected coarse model:

$$(\partial a_c / \partial t)_0 = A_c + Q_a \hat{p}, \quad A_c = -\mathbf{u}_c \cdot \nabla a_c$$

- Coarse model can include no physics ($Q_a \hat{p} = 0$) or a subset of parameterized physical processes that help track the fine-grid model (e. g. turbulence, radiation, clouds, Cu parameterization).
- Machine-learn a state-dependent corrective source ΔQ_a for the coarse model:

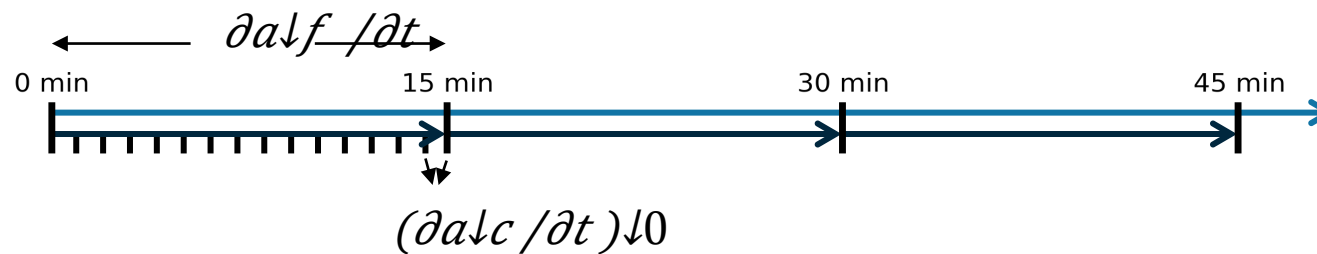
$$(\partial a / \partial t)_c = (\partial a_c / \partial t)_0 + \Delta Q_a = A_c + Q_a \hat{p} + \Delta Q_a$$

- Apparent moistening: $Q_2 = Q_{qv} \hat{p} + \Delta Q_{qv}$

Tendency difference method for computing correcting source

Coarsened state of **fine-resolution model** saved every 15 minutes.

Fine-res tendencies computed from these snapshots.



Coarse-resolution model initialized from each coarsened high-resolution snapshot and run forward for 15 minutes, with a 1-minute timestep.

Low-res tendencies computed from final minute.

Correcting source:

$$\Delta Q \downarrow a = \partial a \downarrow f / \partial t - (\partial a \downarrow c / \partial t),$$

Baseline model physics

We run ML on top of several configurations of the coarse-resolution model:

1. physics-on

- All physical parameterizations on
(land surface, boundary layer, convection, radiation, microphysics, gravity wave drag)

2. clouds-off

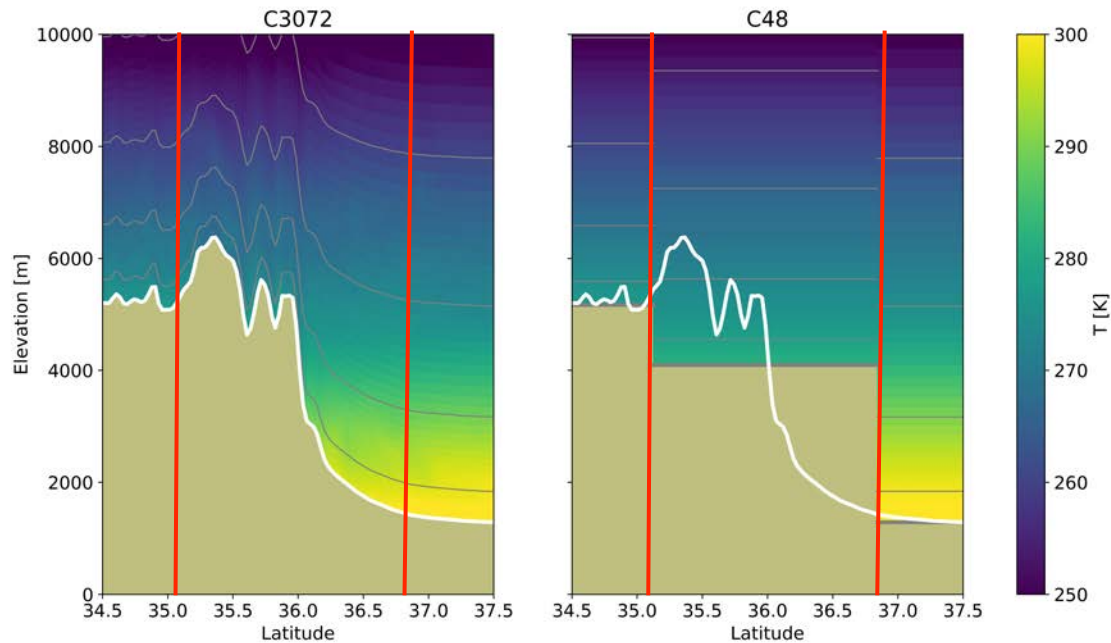
- Deep and shallow convection schemes off
- No microphysics
- Use clear-sky radiation only

3. physics-off

- Run only dynamical core

Conceptual issues over topography

Consider 3 km \rightarrow 200 km coarse-graining over the Himalayas



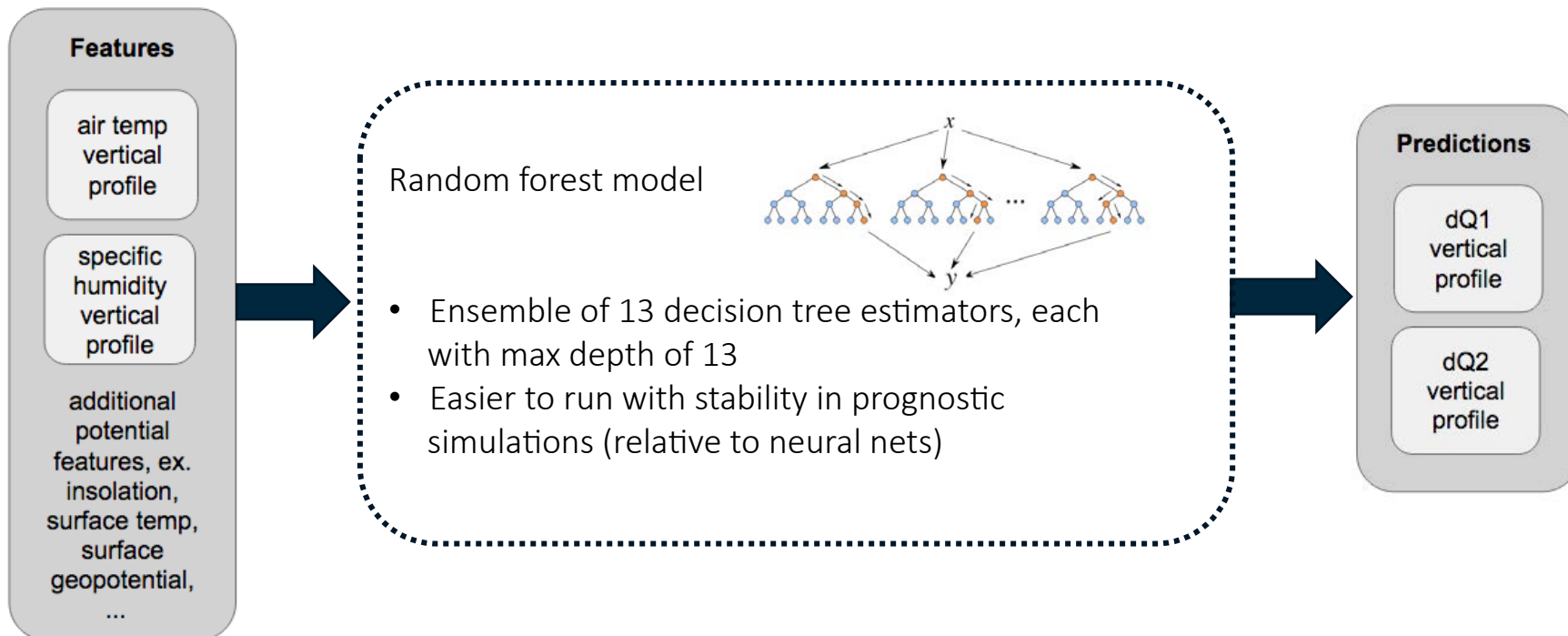
- We coarse-grain to obtain vertical **profiles** and **apparent sources** of T, q, etc.
 - 5 km relief within a coarse cell
 - Most fields are much more constant along a pressure surface than along a terrain-following model surface
- \rightarrow Coarse-grain on pressure levels, not model levels

Machine learning: model training

Training set = 1.7M samples (130 initializations x 13824 grid points)

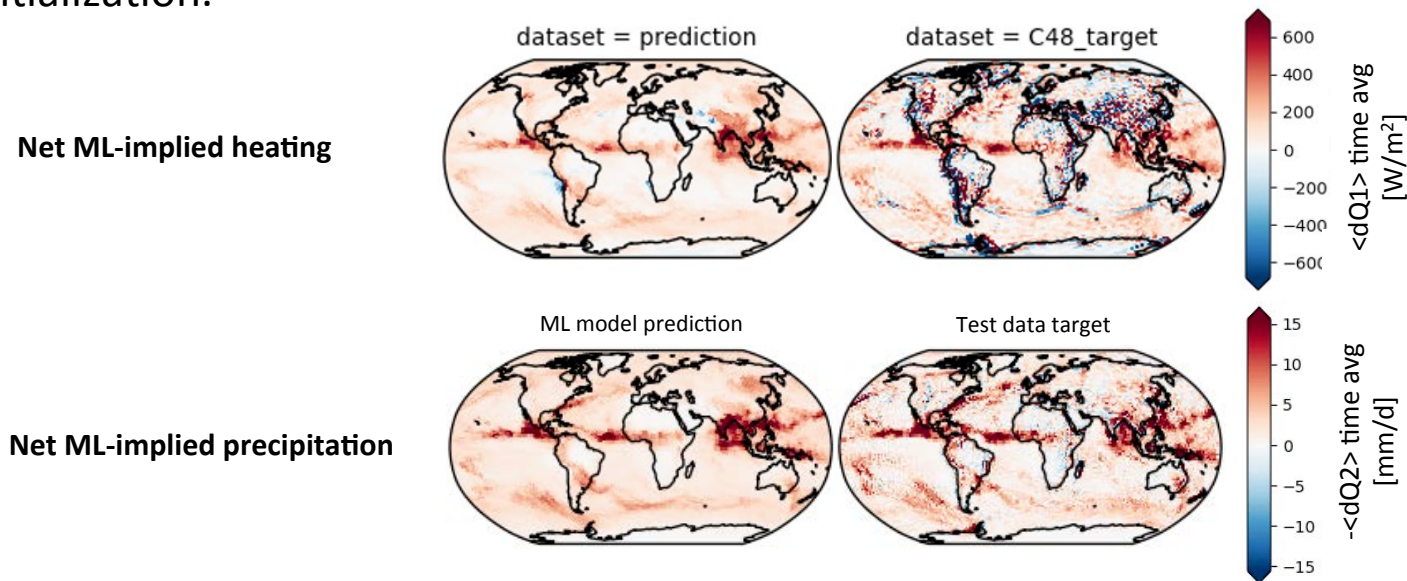
Test set = 660K samples (48 initializations x 13824 grid points)

Train/test data separated by split date to minimize correlated data across sets



Machine learning: diagnostic skill

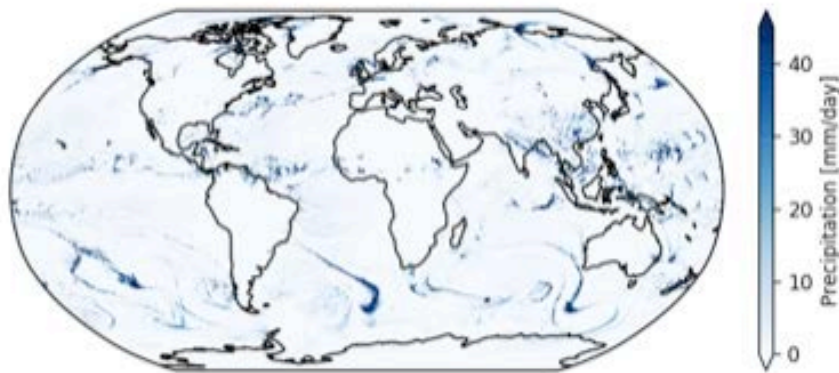
Column integrals of the ML-predicted vertical profiles reproduce spatial features of net heating and precipitation, while also smoothing out noise from coarse-graining and initialization.



ML model trained with clouds-off configuration

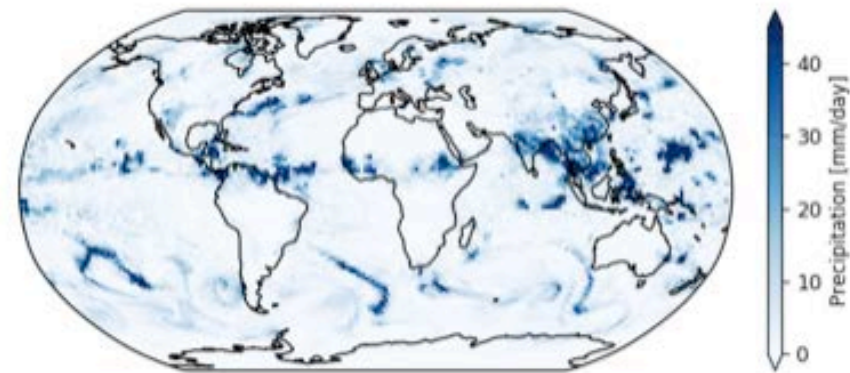
2-day prognostic forecast of precipitation

High resolution model: 2016-08-05, 06:15



3 km simulation averaged to
hourly 25 km

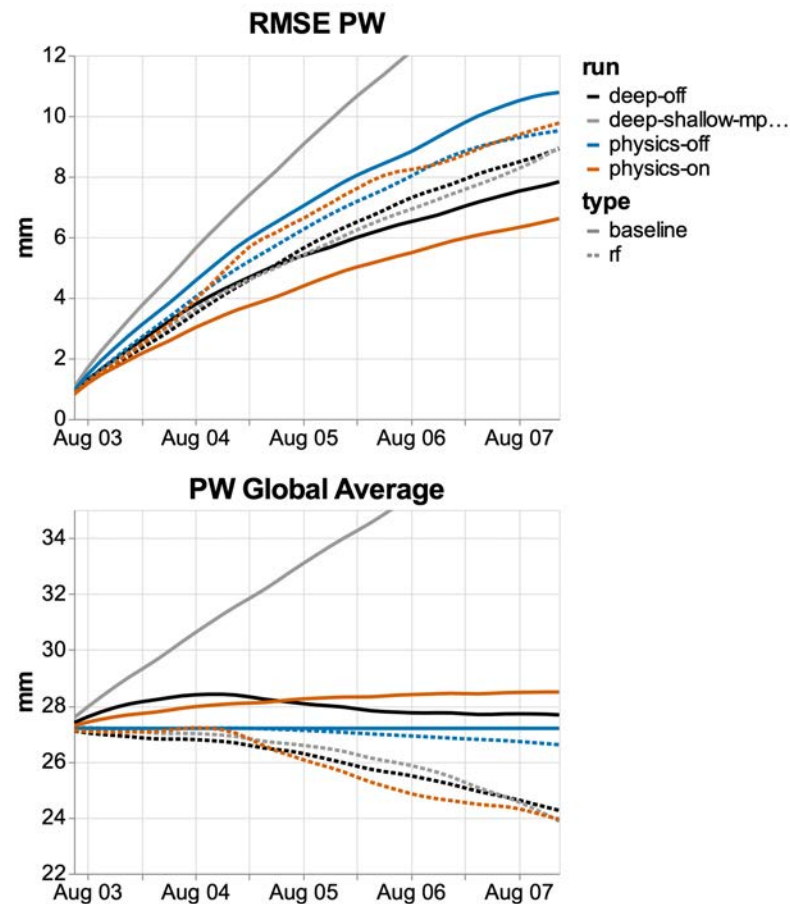
ML model: 2016-08-05, 06:15



200 km FV3GFS with deep
convection param replaced
by ML

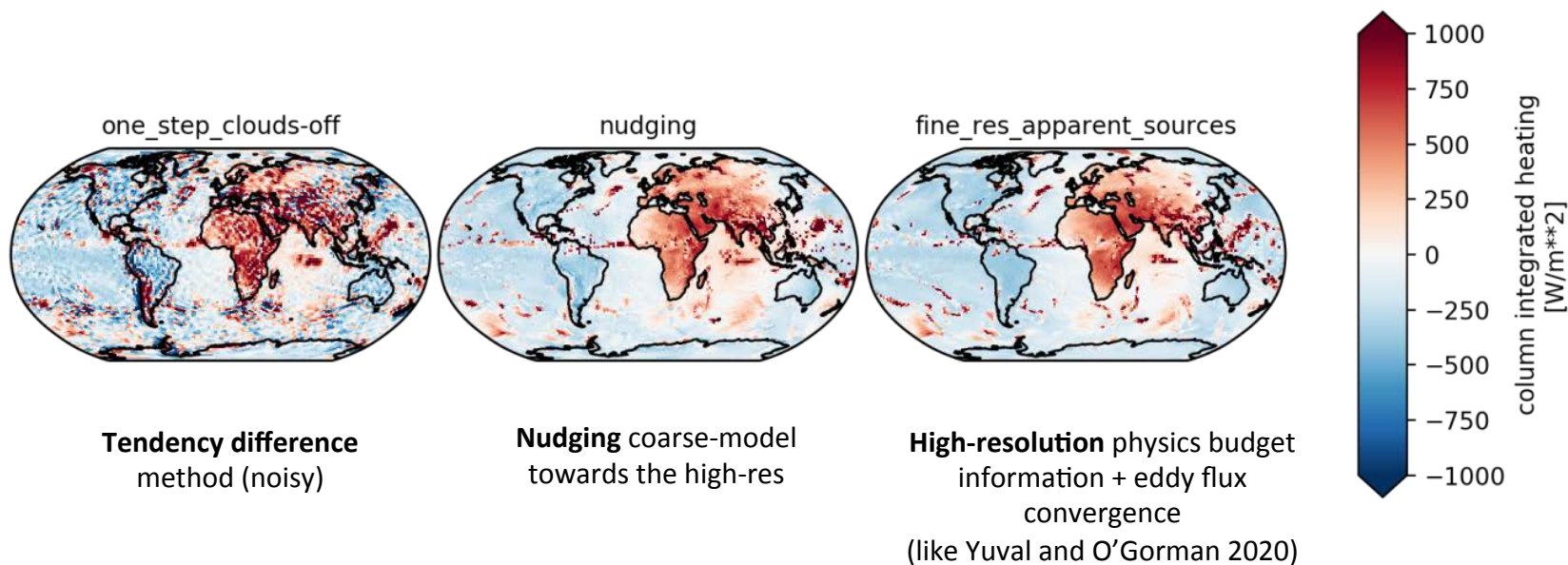
Weather forecast test

- 5-10 day 'weather forecasts' are an acknowledged test of global atmospheric model skill
- Goal is to match the evolution of the 3 km training model.
- Skill metric: root-mean-square error (RMSE) of map of column water vapor in 200 km model vs. coarsened 3 km model. Smaller is better.
- RMSE grows as coarse model diverges from training model.
- "Climate" skill metric: minimal global-mean drift over 5 days
- Currently, the best model configuration includes all conventional physics parameterizations and no ML.
- Most (not all) ML runs to date crash between 5 and 10 days
- ...but it's early days, and we are working to improve ML skill.



Alternative strategies for computing corrective sources

Snapshot of net column-heating in training dataset:



Testing these soon!

Conclusions and Outlook

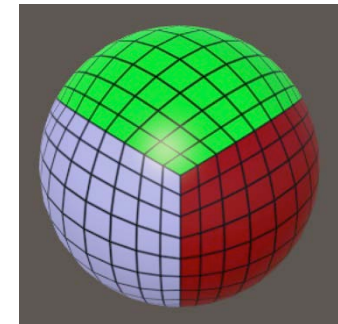
- VCM has developed a unique cloud-based workflow for training a ML correction to a coarse-resolution climate model based on fine-resolution GSRM simulations.
- We have trained stable ML schemes that can make skillful global rainfall forecasts over land and ocean for 10 days or longer given specified SST.
- Tendency-difference method is flexible but is degraded by vertical velocity transients
- Promising new approaches to improve training data quality

Thank You!

<https://vulcan.com/Our-Work/Climate/Climate-Modeling.aspx>

FV3GFS and SHIELD¹ global weather/climate models

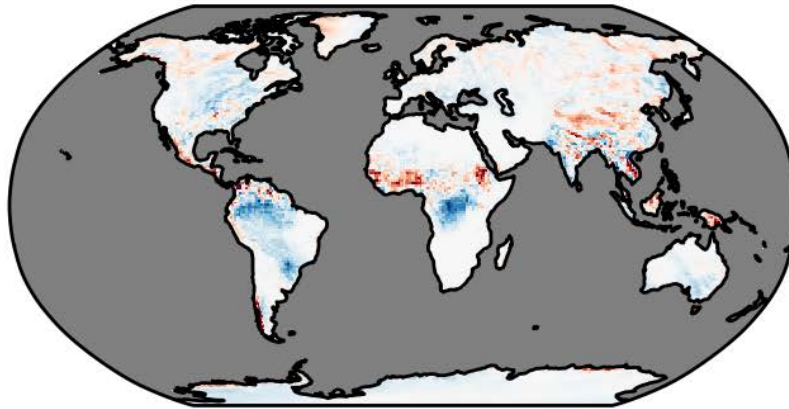
- FV3GFS: Open-source global atmosphere model used by NOAA for operational weather forecasts
- FV3 dycore – Customized D-grid finite volume method on cubed sphere.
- Nonhydrostatic by default, 80 vertical levels used here.
- Specified time-varying sea-surface temperature used here
- **Horizontal grid resolutions:**
 - 3 km (C3072) No deep cumulus parameterization or gravity-wave drag
 - 13 km Used for NCEP’s current operational global weather forecasts
 - 25 km Finest grid currently practical for climate simulations of many decades
 - 200 km (C48) Typical coarse climate model grid – good for prototyping or millennial runs.
- **Physical parameterizations:**
 - Land surface and surface fluxes (NOAH)
 - Radiation (RRTMG)
 - Gravity-wave drag
 - Boundary-layer (including shallow clouds) and shallow Cu (Han-Bretherton, Han-Pan)
 - Cloud microphysics and subgrid variability (GFDL one-moment)
 - Deep cumulus convection (SAS)



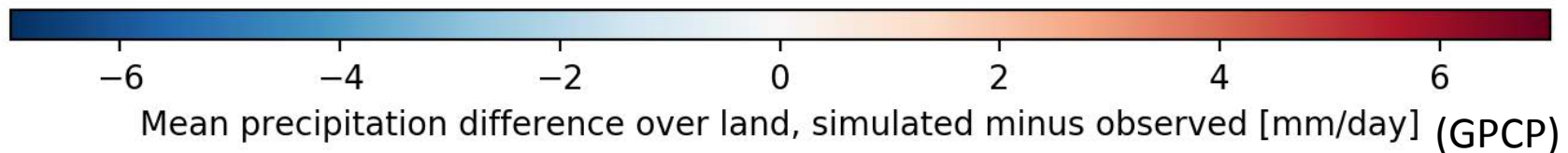
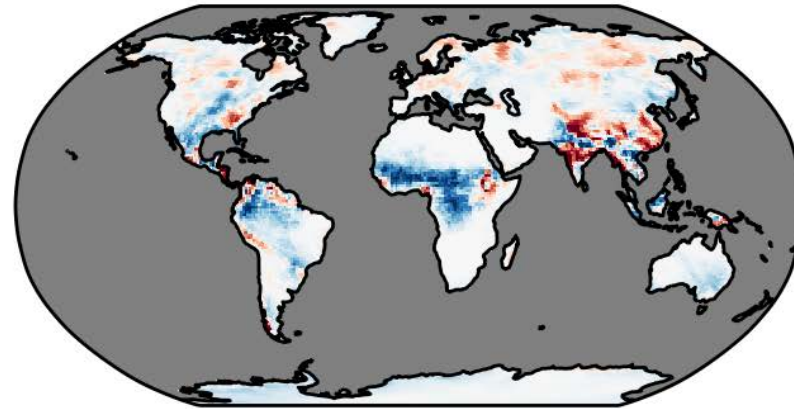
¹ GFDL's SHIELD is FV3GFS with modest changes to cloud physics and advection and is not open-source.

40 d mean precipitation bias over land: 3 km SHiELD vs. 200 km FV3GFS

3 km X-SHiELD (-0.08 mm/day)

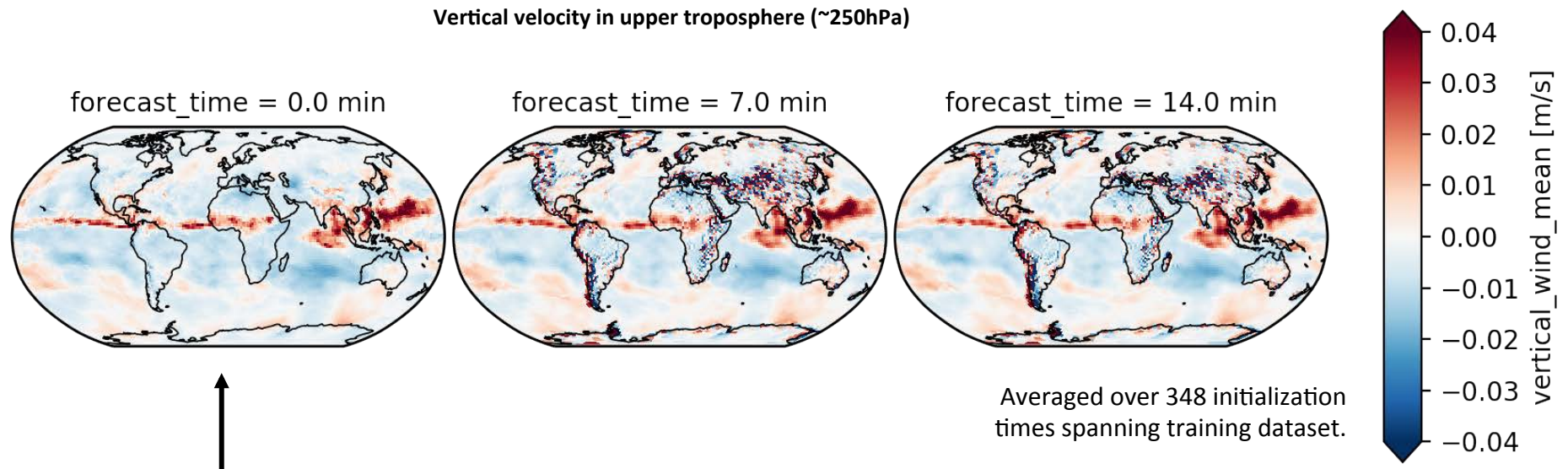


200 km FV3GFS (-0.36 mm/day)



3 km rainfall bias much smaller over sub-Saharan Africa and Himalayas
Diurnal cycle of precipitation over land is also greatly improved in SHiELD

Despite careful efforts of pressure-level coarse-graining, vertical velocity noise remains over topography



Fine resolution model
coarsened to 200km
resolution

These results are from clouds-off, but all physics configurations give comparable results